

(2-3 letter city code)

CASE ID# \_\_\_\_\_ - \_\_\_\_\_

DOCKET # \_\_\_\_\_ cv \_\_\_\_\_

**EMPLOYMENT DISCRIMINATION PROJECT  
CODING FORM**

1. Case name: \_\_\_\_\_
  2. a) Judicial division and district:
 

<input type="checkbox"/> NDIL	<input type="checkbox"/> NDCA	<input type="checkbox"/> EDPA
<input type="checkbox"/> SDNY	<input type="checkbox"/> NDTX	
<input type="checkbox"/> NDGA	<input type="checkbox"/> EDLA	
  - b) Case location:  Federal Records Center  Other \_\_\_\_\_  
 Main District Court
  3. Case filing date \_\_\_\_/\_\_\_\_/\_\_\_\_
  4. File size (Use a ruler or lines on p. 10. Measure file to the nearest .25 inch. Use decimals) \_\_\_\_ . \_\_\_\_
  5. Is this an employment discrimination case?
    - Yes
    - No, but it is an "Employment Civil Rights" case (**Only fill out 5b-d below**)
    - No, it is a miscoded case (e.g., bankruptcy, etc.) (**Only fill out 5b-d below**)
- b) Name of Plaintiff \_\_\_\_\_
- c) Date violation allegedly occurred \_\_\_\_/\_\_\_\_/\_\_\_\_ [d) to \_\_\_\_/\_\_\_\_/\_\_\_\_].

**FOR CODERS**

**102. Other Notes** (if you have a note for ABF staff, write it below or on the back of this page)

- |  |   |
|--|---|
| <input type="checkbox"/> Very weak/flimsy case | <input type="checkbox"/> Average case                       |
| <input type="checkbox"/> Few documents in file | <input type="checkbox"/> Consider for in-depth study: _____ |

Coder Initials	Date	# of Folders in File	Status
1. _____	1. ____/____/____	1. a) boxes: ____ b) folders: _____	
2. _____	2. ____/____/____	2. a) boxes: ____ b) folders: _____	

**FOR ABF STAFF**

Reviewer	Date	Notes
_____	____/____/____	

**Inter-coder Reliability (IR) Check**

- IR case # \_\_\_\_\_
  - Use this as the regular case
  - Use this as the IR case. (Put "A" after the case ID# on the form)
- Completed!**

6. This case involves alleged discrimination on the basis of (Check all that apply):

- |   |   |
|---|---|
| <input type="checkbox"/> Age                              | <input type="checkbox"/> Pregnancy  |
| <input type="checkbox"/> Sex                              | <input type="checkbox"/> Family Status/Medical Leave                                  |
| <input type="checkbox"/> Race                             | <input type="checkbox"/> Sexual Orientation   |
| <input type="checkbox"/> Disability                       | <input type="checkbox"/> If uncertain b/w Race and National Origin,<br>describe _____ |
| <input type="checkbox"/> Religion                         | <input type="checkbox"/> Other _____  |
| <input type="checkbox"/> National Origin (incl. Hispanic) |   |

7. This case involves discrimination in (Check all that apply):

- |                                    |  |
|------------------------------------|--|
| <input type="checkbox"/> Hiring    | <input type="checkbox"/> Conditions of Employment              |
| <input type="checkbox"/> Firing    | <input type="checkbox"/> Pay                                   |
| <input type="checkbox"/> Demotion  | <input type="checkbox"/> Sexual Harassment (If yes, answer Q8) |
| <input type="checkbox"/> Promotion | <input type="checkbox"/> Retaliation                           |
| <input type="checkbox"/> Seniority | <input type="checkbox"/> Other _____                           |

8. If this is a case of sexual harassment, is it (Check all that apply):

- Quid pro quo       Hostile work environment

9. Statutory or Constitutional Bases of Suit (Check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> <b>Title VII</b> of the Civil Rights Act of 1964, 42 U.S.C. § 2000(e) (includes Civil Rights Act of 1991) | <input type="checkbox"/> <b>ADA</b> (American with Disabilities Act of 1990), 42 U.S.C. § 12101  |
| <input type="checkbox"/> <b>ADEA</b> (Age Discrimination in Employment Act of 1967), 29 U.S.C. § 621                               | <input type="checkbox"/> <b>PDA</b> (Pregnancy Discrimination Act of 1978), 42 U.S.C. § 2000e(k) |
| <input type="checkbox"/> <b>EPA</b> (Equal Pay Act of 1963), 29 U.S.C. § 206(d)  | <input type="checkbox"/> 42 U.S.C. § <b>1981</b>   |
| <input type="checkbox"/> <b>FMLA</b> (Family and Medical Leave Act of 1993), 29 U.S.C. § 2601                                      | <input type="checkbox"/> 42 U.S.C. § <b>1983</b>   |
|  | <input type="checkbox"/> <b>Rehabilitation Act</b> of 1973, 29 U.S.C. §790                       |
|  | <input type="checkbox"/> <b>Constitutional Case</b> (specify amendments) _____                   |
|  | <input type="checkbox"/> Other _____   |

10. Is there a specific individual or group of individuals who perpetuated the discrimination?

- Yes       No (skip to Q12)

11. If yes to Q10, describe their name or group, race, gender and organizational position.

- | <b>Perpetrator</b>   | <b>Gender</b>  | <b>Race</b>   | <b>Organizational Position</b>   |
|--|--|---|--|
| a. <input type="checkbox"/> Individual<br><input type="checkbox"/> Group | b. <input type="checkbox"/> Male <input type="checkbox"/> Female | c. <input type="checkbox"/> Black <input type="checkbox"/> Asian<br><input type="checkbox"/> White <input type="checkbox"/> Other:<br><input type="checkbox"/> Hispanic _____ | d. <input type="checkbox"/> Superordinate<br><input type="checkbox"/> Peer<br><input type="checkbox"/> Subordinate<br><input type="checkbox"/> Other _____ |
| e. <input type="checkbox"/> Individual<br><input type="checkbox"/> Group | f. <input type="checkbox"/> Male <input type="checkbox"/> Female | g. <input type="checkbox"/> Black <input type="checkbox"/> Asian<br><input type="checkbox"/> White <input type="checkbox"/> Other:<br><input type="checkbox"/> Hispanic _____ | h. <input type="checkbox"/> Superordinate<br><input type="checkbox"/> Peer<br><input type="checkbox"/> Subordinate<br><input type="checkbox"/> Other _____ |
| i. <input type="checkbox"/> Individual<br><input type="checkbox"/> Group | j. <input type="checkbox"/> Male <input type="checkbox"/> Female | k. <input type="checkbox"/> Black <input type="checkbox"/> Asian<br><input type="checkbox"/> White <input type="checkbox"/> Other:<br><input type="checkbox"/> Hispanic _____ | l. <input type="checkbox"/> Superordinate<br><input type="checkbox"/> Peer<br><input type="checkbox"/> Subordinate<br><input type="checkbox"/> Other _____ |

12. Is this a case of (Check all that apply):

- Disparate treatment       Disparate impact

13. Give a brief description of what the complaint is about (if complaint was amended, use last amended complaint):

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14. Did either the law memoranda or the judge's final opinion cite the phrase "mixed motive" or *Price Waterhouse v. Hopkins* in reference to mixed motive?

- Yes       No

**DATES OF EMPLOYMENT AND ALLEGED VIOLATION(S)**

If there are multiple Ps or it is a class action suit, fill out this form for the first P.

15. Date P. started working for employer \_\_\_\_/\_\_\_\_/\_\_\_\_

16. For how many years did Plaintiff work for employer before the incidents complained about occurred? (to the nearest .25 year. Use decimals. Mark "0" if discrimination started immediately) \_\_\_\_ . \_\_\_\_

17. Date violation allegedly occurred a) \_\_\_\_/\_\_\_\_/\_\_\_\_ [b) to \_\_\_\_/\_\_\_\_/\_\_\_\_]. (Do not use dates in EEOC documents. See **Instructions**. Cross out 17b if it was a discrete violation.)

18. Is this a "discrete" (one point in time) violation?

- Yes       No

19. If none of the alleged violations had occurred when the suit was filed, explain: \_\_\_\_\_

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20. On the day the lawsuit was filed, was the Plaintiff employed by the Defendant?

- Yes  
 No, b) If no, when did the plaintiff stop working for the defendant \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Plaintiff on Layoff

21. Plaintiff's age at time incident occurred (to nearest .5) \_\_\_\_ . \_\_\_\_

22. If their age is not clear but you can make a guess, do so, e.g. "over 50" or "30 to 50."

- Over \_\_\_\_  
 \_\_\_\_ to \_\_\_\_

**PLAINTIFF**

23. Plaintiff (if a class action suit or more than one plaintiff, fill out this form using info for *first* plaintiff):

a) Name: \_\_\_\_\_

b) Address \_\_\_\_\_

d) Phone number & email \_\_\_\_\_

24. If other plaintiffs:

a) How many: \_\_\_\_\_

b) Describe (e.g. race, gender, organizational position. Include the names of any organization[s]).

25. a) Plaintiff is:

Black

Hispanic

Other \_\_\_\_\_

White

Asian

b) National origin, if relevant to the case \_\_\_\_\_

c) Citizenship status, if relevant (e.g. immigrant on work permit) \_\_\_\_\_

26. Plaintiff is:  Male  Female

27. Plaintiff's occupation was (describe) \_\_\_\_\_

28. Now check one (see **Occupation List** for further details):

Management, business, and financial

Natural resources, construction, and maintenance

Professional and related

Production and transportation

Service

Other

Sales

Office and administrative support

29. In what city/region did the alleged discrimination occur?

Chicago

Atlanta

Dallas

Philadelphia

NYC

San Francisco

New Orleans

Other: \_\_\_\_\_

30. Plaintiff's wage/salary was: \$ \_\_\_\_\_ per  Hour  Week  Month  Year

31. Does the lawsuit mention that the P. is in a union?

Yes, b) Name of union \_\_\_\_\_

No

32. Is a union being sued?

Yes, b) Name, if not in Q31) \_\_\_\_\_

No

33. Primary legal representation for Plaintiff:

a) Attorneys \_\_\_\_\_

b) Firm \_\_\_\_\_

c) Address \_\_\_\_\_

d) Phone number & email \_\_\_\_\_

34. Is this a public interest firm (e.g. National Organization for Women but not the government or EEOC)?

Yes       No

35. Was there (check if "Yes"):

A change of firms a) If yes, date of change: \_\_\_\_/\_\_\_\_/\_\_\_\_ (Answer 35b – h)

More than one firm simultaneously involved (Answer 35b – h)

b) Attorneys \_\_\_\_\_

c) Firm \_\_\_\_\_

d) Address \_\_\_\_\_

e) Phone number & email \_\_\_\_\_

f) Is this a public interest law firm:  Yes       No

g) If there was a change of firms, how many *total* changes were there? \_\_\_\_\_

h) Were there more than 2 firm changes or some other extenuating situation with the firms?

Yes (Explain in Q90)

No

### **DEFENDANT**

36. a) Name of *first* defendant \_\_\_\_\_

b) Address \_\_\_\_\_

d) Phone number & email \_\_\_\_\_

37. If other defendants (include institutional defendants):

a) How many: \_\_\_\_\_

b) Names: \_\_\_\_\_

38. Defendant operated primarily in (Check only one code. See **Industry List** for further information):

Agriculture and Forestry

Mining, Utilities, and Construction

Manufacturing

Wholesale and Retail Trade, Transportation  
and Warehousing

Information and Technical Services (includes  
admin. support, & waste management services)

Educational and Health Care Services

Entertainment, Accommodation, and Food  
Services

Other Services and Public Administration  
(including any government entity)

39. Primary legal representation for the Defendant:

a) Attorneys \_\_\_\_\_

b) Firm \_\_\_\_\_

c) Address \_\_\_\_\_

d) Phone number & email \_\_\_\_\_

e) Is this a public interest law firm:  Yes  No

40. Was there (check if "Yes"):

A change of firms a) If yes, date of change: \_\_\_/\_\_\_/\_\_\_ \_\_\_ \_\_\_ (Answer 40b – h)

More than one firm simultaneously involved (Answer 40b – h)

b) Attorneys \_\_\_\_\_

c) Firm \_\_\_\_\_

d) Address \_\_\_\_\_

e) Phone number & email \_\_\_\_\_

f) Is this a public interest law firm:  Yes  No

g) If there was a change of firms, how many *total* changes were there? \_\_\_\_\_

h) Were there more than 2 firm changes or some other extenuating situation with the firms?

Yes (Explain in Q90)  No

**STATE/LOCAL AGENCY**

41. Was a state or local agency contacted?

Yes b) If yes, date contacted \_\_\_/\_\_\_/\_\_\_ \_\_\_ \_\_\_ c) Date completed \_\_\_/\_\_\_/\_\_\_ \_\_\_ \_\_\_

No

42. If yes to Q41, give name
- |  |  |
|--|--|
| <input type="checkbox"/> IL Dept. of Human Rights          | <input type="checkbox"/> TX Commiss. on Human Rights |
| <input type="checkbox"/> GA Commiss. on Equal Opportunity  | <input type="checkbox"/> LA Commiss. on Human Rights |
| <input type="checkbox"/> NYC Commiss. on Human Rights      | <input type="checkbox"/> CA Dept. of Fair Employment |
| <input type="checkbox"/> NY State Division of Human Rights | <input type="checkbox"/> and Housing                 |
| <input type="checkbox"/> Phil. Commiss. on Human Relations | <input type="checkbox"/> Other _____                 |

43. Did state/local agency issue a findings of facts (e.g. a report or document with an opinion about the case)?

Yes b) If yes, date \_\_\_/\_\_\_/\_\_\_ \_\_\_ \_\_\_

No

44. If yes to Q43, did agency:

Support on the merits  Not support on merits

45. Did agency issue right-to-sue letter?

Yes b) If yes, date \_\_\_/\_\_\_/\_\_\_ \_\_\_ \_\_\_

No

46. List any other documents from the agency (besides finding of facts and right-to-sue letter) in file and note any essential issues: \_\_\_\_\_

**FEDERAL EEOC**

47. Was the federal EEOC contacted?

- Yes b) If yes, date contacted \_\_\_\_/\_\_\_\_/\_\_\_\_ c) Date completed \_\_\_\_/\_\_\_\_/\_\_\_\_  
 No

48. Did federal EEOC issue a finding of facts (e.g. a report or document with an opinion about the case)?

- Yes b) If yes, date \_\_\_\_/\_\_\_\_/\_\_\_\_  
 No

49. If yes to Q48, did EEOC:

- Support on the merits  
 Not support on merits

50. Did federal EEOC issue a right-to-sue letter?

- Yes b) If yes, date \_\_\_\_/\_\_\_\_/\_\_\_\_  
 No

51. List any other documents from the agency (besides finding of facts and right-to-sue letter) in file and note any essential issues:

**OTHER FEDERAL AGENCY**

52. Was another federal agency (e.g. Justice Department) contacted?

- Yes b) If yes, date contacted \_\_\_\_/\_\_\_\_/\_\_\_\_ c) Date completed \_\_\_\_/\_\_\_\_/\_\_\_\_  
 No

53. Did agency issue right-to-sue letter?

- Yes b) If yes, date \_\_\_\_/\_\_\_\_/\_\_\_\_  
 No

54. List any other documents from the agency (besides finding of facts and right-to-sue letter) in file and note any essential issues:

**OTHER PROCEDURES**55. Was lawsuit initiated without a lawyer (*pro se*)?

- Yes       No

56. Was *in forma pauperis* requested (request not to pay court filing fees)?

- Yes b) If yes, was it granted?     Yes     No  
 No

57. Did plaintiff demand jury trial?

- Yes       No

58. Class action status (chose one):

- Requested (still an open file)       Certified (the case proceeded as a class action)  
 Not certified (explicitly)               Not requested

**RELIEF DEMANDED**

59. What was the relief demanded, usually in prayer for relief (check all that apply)?

- Monetary damages
- Reinstatement
- Attorney's fees
- Declaratory judgment (e.g. performance, injunctive relief)
- Costs
- "All relief appropriate" (or similar generic language)

60. What monetary damages demanded (check all that apply)?

- Emotional damages
- Punitive damages
- Compensatory (includes back pay, back pay interest, front pay, incidental damages, pension or other benefits, liquidated damages, and any other compensatory damages)
- Other/Unclear \_\_\_\_\_

61. Give dollar amount of damages demanded and describe, e.g., "\$2,000 demanded in back pay" or "all damages appropriate." If the complaint has multiple counts, choose the count with the highest total damages.

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**DISCOVERY AND EVIDENCE**

62. Are any depositions or answered interrogatories included in this file?

- Yes (Skip to Q64)
- No

63. If no, do you think that depositions were taken in this case but not included in this file?

- Yes
- No

64. Are any expert reports or expert testimony included in this file?

- Yes b) If yes, does it include statistical evidence?  Yes  No
- No

65. Does the file include any documents from the employer that evaluate the P.'s work performance?

- Yes
- No

**DISTRICT COURT**

66. Did the judge grant or deny any motions for summary judgment (also called Rule 56)?

- Yes
- No (Skip to Q68)

67. If yes, what were the outcomes?

- Plaintiff won/Defendant lost on all motions
- Defendant won/Plaintiff lost on all motions (Skip to Q72)
- Mixed judgments (some in favor of Plaintiff, some in favor of Defendant)

b) **If Mixed judgments**, describe \_\_\_\_\_

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68. Was there a trial?

- Yes
- No (skip to Q71)

69. If yes to Q68, was this case tried by (check one)

- Jury
- Magistrate
- District Judge

70. If yes to Q68, what was verdict entered?

- Verdict for Plaintiff
- Mixed verdict
- Verdict for Defendant
- No verdict entered

b) If it was a verdict for P. or a mixed verdict, please specify the counts on which the P. won or lost:

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c) If verdict for Plaintiff or mixed verdict, what were the total damages awarded? \$ \_\_\_\_\_

71. Outcome of district court (select one and only ONE):

- |   |   |
|---|---|
| <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Settled</b></li> <li><b>Dismissed:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> For want of prosecution</li> <li><input type="checkbox"/> Without prejudice (explain condition in Q72)</li> <li><input type="checkbox"/> Other (specify in Q73)</li> </ul> </li> <li><b>Plaintiff explicitly dropped case,</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Without settlement</li> <li><input type="checkbox"/> Don't know why</li> </ul> </li> </ul> | <ul style="list-style-type: none"> <li><b>Tried</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Trial verdict entered as is (in Q70)</li> <li><input type="checkbox"/> Trial verdict amended &amp; entered (specify in Q73-74)</li> </ul> </li> <li><b>Other:</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Still open</li> <li><input type="checkbox"/> Transferred</li> <li><input type="checkbox"/> Reassigned to magistrate</li> <li><input type="checkbox"/> Sent to arbitration</li> </ul> </li> <li><input type="checkbox"/> <b>No information on outcome/Unclear</b></li> </ul> |
|---|---|

72. Date of judgment \_\_\_\_/\_\_\_\_/\_\_\_\_ b) If no judgment, last docket entry \_\_\_\_/\_\_\_\_/\_\_\_\_

73. Quote and describe in detail, if necessary, the terms of the final district court settlement or judgment. Include rule numbers.

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74. What was the final award?  
 Compensatory \$ \_\_\_\_\_  
 Punitive \$ \_\_\_\_\_  
 Attorney's fees \$ \_\_\_\_\_  
 Costs \$ \_\_\_\_\_  
 Everything else \$ \_\_\_\_\_  
**Total amount \$ \_\_\_\_\_**

75. Is there information on the terms?  Yes  No

76. Was the case appealed?  
 **Yes (Attach the pink form, "Appellate Court & Post-Appellate Court Outcomes," and start with Q76. Be sure to write the case ID # on the pink form)**  
 **No, but there was post-judgment activity (Attach the pink form and start with Q79. Be sure to write the case ID # on the pink form and staple it to this)**  
 **No/No mention and no post-judgment activity (Complete to Q90 below)**

90. Is there anything else that you think was critical to this or anything we should know about the contents of the file (e.g. especially intriguing evidence, etc.)? Please be thorough but concise.

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**Now review this form and check for any missing fields. If you left any of the fields blank because you couldn't answer the question, place a slash through the number of the question. Thanks!**

CASE ID# \_\_\_\_ - \_\_\_\_

DOCKET# \_\_\_\_ cv \_\_\_\_

CODER INITIALS: \_\_\_\_\_

DATE: \_\_\_\_/\_\_\_\_/\_\_\_\_

**EMPLOYMENT DISCRIMINATION PROJECT  
APPELLATE COURT AND POST-APPELLATE COURT ACTIVITY**

**APPELLATE COURT OUTCOME**

76. Was the case appealed?

- Yes
- No/No mention (**If No, skip to Q79**)

77. If yes, what was the outcome (check one)?:

- Upheld
- Reversed (and not remanded)
- Settled
- Reversed and Remanded
- Other: \_\_\_\_\_

78. Describe the terms of the appellate judgment or judgment and the date: \_\_\_\_/\_\_\_\_/\_\_\_\_

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**OTHER POST-APPELLATE OR POST-JUDGMENT ACTIVITY**

79. Is there record of new trial granted?

- Yes
- No **If No but there is post-judgment activity, skip to Q85**

80. Did the judge grant or deny any motions for summary judgment?

- Yes
- No

81. If yes, what were the outcomes?

- Plaintiff won (or Defendant lost) on all motions
  - Defendant won (or Plaintiff lost) on all motions (skip to Q86)
  - Mixed judgments (some in favor of Plaintiff, some in favor of Defendant)
    - b) **If Mixed judgments**, describe \_\_\_\_\_
- 
- 
- 

82. Was there a trial?

- Yes
- No (Skip to Q85)

83. If there was a trial (yes to Q82), was this case tried by (check one)

- Jury
- District Judge
- Magistrate

84. If the case was tried, what was verdict entered?

- Verdict for Plaintiff
- Verdict for Defendant
- Mixed verdict
- No verdict entered

b) **If it was a verdict for P or a mixed verdict**, please specify the counts on which the P won (or lost):

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c) If verdict for Plaintiff or mixed verdict, what were the total damages awarded? \$ \_\_\_\_\_

85. Outcome of post-appellate district court or the post-judgment decision (select one and only ONE):

- |   |   |
|---|---|
| <input type="checkbox"/> <b>Settled</b><br><b>Dismissed:</b><br><input type="checkbox"/> For want of prosecution<br><input type="checkbox"/> Without prejudice (explain condition in Q72)<br><input type="checkbox"/> Other (specify in Q72)<br><b>Plaintiff explicitly dropped case,</b><br><input type="checkbox"/> Without settlement<br><input type="checkbox"/> Don't know why | <b>Tried</b><br><input type="checkbox"/> Trial verdict entered as is (in Q84)<br><input type="checkbox"/> Trial verdict amended & entered (specify in Q87-88)<br><b>Other:</b><br><input type="checkbox"/> Still open<br><input type="checkbox"/> Transferred<br><input type="checkbox"/> Reassigned to magistrate<br><input type="checkbox"/> Sent to arbitration<br><input type="checkbox"/> <b>No information on outcome/Unclear</b> |
|---|---|

86. Date of judgment \_\_\_/\_\_\_/\_\_\_ b) If no judgment, date of last docket entry \_\_\_/\_\_\_/\_\_\_

87. Describe the terms of the final post-appellate district court settlement or judgment: \_\_\_\_\_

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88. What was the final post-appellate district court award?

- Compensatory \$ \_\_\_\_\_
- Punitive \$ \_\_\_\_\_
- Attorney's fees \$ \_\_\_\_\_
- Costs \$ \_\_\_\_\_
- Everything else \$ \_\_\_\_\_
- Total amount \$ \_\_\_\_\_**

89. Is there information on the terms?  Yes  No

**Now, please go back to Q90 on p. 10 of the green form. Be sure to staple this page to the green form, too. Thanks!**

90. Is there information in the file including details about preliminary settlement talks?

- Yes       No (Skip to Q98)

93. Did parties agree (or were they assigned to) an ENE (Early Neutral Evaluation)?

- Yes    b) Date of agreement/assignment \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_
- c) Date ENE took place \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_
- No

94. Did parties agree (or were they assigned to) mediation, arbitration or a settlement conference (not before a magistrate)? Please indicate in Q97 either mediation, arbitration, or settlement conference.

- Yes    b) Date of agreement/assignment \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_
- c) Date session took place \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_
- No

95. Did parties agree (or were they assigned to) mediation, arbitration or a settlement conference before a magistrate? Please indicate in Q97 either mediation, arbitration, or settlement conference.

- Yes    b) Date of agreement/assignment \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_
- c) Date session took place \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_
- No

96. Is there any documentation outlining concrete settlement talks, including offers or demands, (In a Joint Status Conference Report or elsewhere)? If there were multiple reports / offers record the dates and amounts in Question 97.

- Yes    b) Date of offer \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_    d) Offer \_\_\_\_\_
- c) Date of demand \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_    e) Demand \_\_\_\_\_
- No

97. Is there anything else of significance that you think should be noted regarding unfinalized settlement negotiations? If the answer was yes to 94 or 95 above, in this question, please indicate whether it was arbitration or mediation.

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98. Name of the District Court judge who issued the final order: \_\_\_\_\_

99. Does it look like the District Court judge directly adopted the opinion of a magistrate judge?

- No       Yes: b) Name of magistrate judge: \_\_\_\_\_

100. If there is a post-appeal final judgment, what is the name of judge who issued that decision?

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101. Any other notes about the judge(s): \_\_\_\_\_

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